

Electronic Acknowledgement Receipt

EFS ID:	1032106
Application Number:	10595536
Confirmation Number:	2460
Title of Invention:	SPINAL LIGAMENT MODIFICATION DEVICES
First Named Inventor:	Donald Schomer
Customer Number:	23505
Filer:	Marcella D. Watkins/Sandra Begley
Filer Authorized By:	Marcella D. Watkins
Attorney Docket Number:	
Receipt Date:	26-APR-2006
Filing Date:	
Time Stamp:	18:22:47
Application Type:	U.S. National Stage under 35 USC 371
International Application Number:	PCT/US05/27216

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Payment was successfully received in RAM	\$ 150.0
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part	Pages
1	Documents submitted with 371 Applications	transmittal2329-00155.pdf	150227	no	3
Warnings:					
Information:					
2	Documents submitted with 371 Applications	pct304-2329-00155.pdf	68012	no	1
Warnings:					
Information:					
3	Information Disclosure Statement (IDS) Filed	ids2329-00155.pdf	185847	no	3
Warnings:					
Information:					
This is not an USPTO supplied IDS fillable form					
4	Preliminary Amendment	perlimamend2329-00155.pdf	84855	no	4
Warnings:					
Information:					
5	Specification	publapp2329-00155.pdf	1481802	no	32
Warnings:					
Information:					
6	Documents submitted with 371 Applications	writopn2329-00155.pdf	220737	no	4
Warnings:					
Information:					
7	Foreign Reference	_0426170447_001.pdf	3337689	no	95
Warnings:					
Information:					
8	Foreign Reference	_0426170752_001.pdf	3418695	no	91
Warnings:					
Information:					

9	Foreign Reference	WO0045868.pdf	1873576	no	47
Warnings:					
Information:					
10	Foreign Reference	WO0108571.pdf	1888936	no	42
Warnings:					
Information:					
11	Application Data Sheet	US_ADS_Form_SB_14.pdf	2403730	no	5
Warnings:					
Information:					
12	Fee Worksheet (PTO-875)	fee-info.pdf	8254	no	2
Warnings:					
Information:					
Total Files Size (in bytes):			15122360		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p>					

Electronic Patent Application Fee Transmittal

Application Number:				
Filing Date:				
Title of Invention:	SPINAL LIGAMENT MODIFICATION DEVICES			
First Named Inventor:	Donald Schomer			
Filer:	Marcella D. Watkins/Sandra Begley			
Attorney Docket Number:				
Filed as Small Entity				
U.S. National Stage under 35 USC 371 Filing Fees				
Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:				
Basic National Stage Fee	2631	1	150	150
Natl Stage Search - U.S. as IPEA or ISA	2640	1	0	0
Pages:				
Claims:				
Miscellaneous-Filing:				
Petition:				
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
Total in USD (\$)				150

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 2329-00155
U.S. APPLICATION NO (If known, see 37 CFR 1.5)		PRIORITY DATE CLAIMED 29 July 2004
INTERNATIONAL APPLICATION NO PCT/US2005/027216	INTERNATIONAL FILING DATE 29 July 2005	
TITLE OF INVENTION SPINAL LIGAMENT MODIFICATION DEVICES		
APPLICANT(S) FOR DO/EO/US SCHOMER, Donald, et al.		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1 <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371</p> <p>2 <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.</p> <p>3 <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below</p> <p>4 <input type="checkbox"/> The US has been elected (Article 31)</p> <p>5 <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p style="margin-left: 20px;">a <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau)</p> <p style="margin-left: 20px;">b <input type="checkbox"/> has been communicated by the International Bureau</p> <p style="margin-left: 20px;">c <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6 <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p style="margin-left: 20px;">a <input type="checkbox"/> is attached hereto</p> <p style="margin-left: 20px;">b <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4)</p> <p>7 <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p style="margin-left: 20px;">a <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau)</p> <p style="margin-left: 20px;">b <input type="checkbox"/> have been communicated by the International Bureau</p> <p style="margin-left: 20px;">c <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired</p> <p style="margin-left: 20px;">d <input type="checkbox"/> have not been made and will not be made</p> <p>8 <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9 <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10 <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5))</p> <p>Items 11 to 20 below concern document(s) or information included:</p> <p>11 <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98</p> <p>12 <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included</p> <p>13 <input checked="" type="checkbox"/> A preliminary amendment</p> <p>14 <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15 <input type="checkbox"/> A substitute specification</p> <p>16 <input type="checkbox"/> A power of attorney and/or change of address letter</p> <p>17 <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter 2 and 37 CFR 1.821-1.825</p> <p>18 <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4)</p> <p>19 <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4)</p>		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO. PCT/US2005/027216		ATTORNEY'S DOCKET NUMBER 2329-00155	
20 Other items or information: Written Opinion, PCT/IB/304, FOUR Cited References					
The following fees have been submitted				CALCULATIONS PTO USE ONLY	
21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a)) \$300				\$ 300	
22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c))				\$ 0	
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0					
All other situations \$200					
23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b))				\$ 0	
If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0					
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority \$100					
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB \$400					
All other situations \$500					
TOTAL OF 21, 22 and 23 =					
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)) The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	/50 =		x \$250	\$	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h))				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	9 - 20 =	0	x \$ 50	\$ 0	
Independent claims	1 - 3 =	0	x \$200	\$ 0	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	\$	
TOTAL OF ABOVE CALCULATIONS =				\$ 300	
<input checked="" type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27 Fees above are reduced by 1/2					
SUBTOTAL =				\$ 150	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(l)).				\$	
TOTAL NATIONAL FEE =				\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)) The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28.3.31). \$40.00 per property				\$	
TOTAL FEES ENCLOSED =				\$	
				Amount to be refunded:	\$
				Amount to be charged	\$ 150

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- a ☐ A check in the amount of \$ _____ to cover the above fees is enclosed
- b ☒ Please charge my Deposit Account No 03-2769 in the amount of \$ 150 to cover the above fees.
A duplicate copy of this sheet is enclosed
- c ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No 03-2769. A duplicate copy of this sheet is enclosed
- d ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Marcella D. Watkins
CONLEY ROSE, P. C.
P. O. Box 3267
Houston, TX 77253-3267



SIGNATURE

Marcella D. Watkins

NAME

36,962

REGISTRATION NUMBER